PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE fer the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Comp	note ii raio	
Application Number	10/636, 149	
Filing Date	7 August 2003	
First Named Inventor	GEIGER et al.	
Art Unit		
Examiner Name		
Attorney Docket Number	3827	

			U. S. PATENT D	OCUMENTS	
		Allumbas	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Examiner Continuitials* N	Cite No.1	Document Number	MM-DD-YYYY	Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	<u> </u>	Number-Kind Code ^{2 (if known)}			
		US-			
		US-			
<u></u>		US-			
		US-			
	 	US-			
	┪──	US-			
		US-			
		US-			
	+	US-			
	+	US-			
		US-			
		US-			
	-	US-			
		US-			
		US-			
	_	US-			

Examiner Initials*	Cite No.1	Foreign Patent Document	PATENT DOCU Publication Date MM-DD-YYYY	MENTS Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known) DE 197 42 201 C1	02/04/19	99 Fraunhofer		

	Date	
Examiner	Considered	
Signature	mance with MPEP 609. Draw line through o	itation if not in conformance and not

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Usptanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by Japanese patent document is patent document. ⁵Kind of document by Japanese patent document by Japanese pa

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the This collection of information is required by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08B (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known itute for form 1449/PTO Applicati n Number 10/636,149 INFORMATION DISCLOSURE Filing Dat 7 August 2003 STATEMENT BY APPLICANT First Named Inv ntor GEIGER et al. Art Unit (Use as many sheets as necessary) **Examiner Name** Attorney Docket Number 2 3827 Sheet 2

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1.	T. MORIYA, " A DESIGN OF LOSSY AND LOSSLESS SCALABLE AUDIO CODING", Proc. ICASSP, 2000	
,	2.	Sung-Hee PARK, et al., "MULTI-LAYER BIT-SCLICED BIT-RATE SCALABLE AUDIO CODING", 103, AES Convention 1997 September 26-	29
	3.	GEIGER, et al., "AUDIO CODING BASED ON INTEGER TRANSFORMS", 111 AES Convention, New York, 2001	
	4.	"CODING OF AUDIO VISUAL OBJECTS, AUDIO", International Standard 14496-3, 2nd edition, ISO/IEC Moving Pictures	
		Expert Group, ISO/IEC JTCI/SC29/WG11, 2001.	
. · · ·			

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.